

# Spatially modulated phases in AdS/CFT

Aristomenis Donos  
Jerome Gauntlett

Imperial College London

April, 2012

- 1 Motivation / Introduction
- 2 General strategy for finding new phases
- 3 Examples of Spatially Modulated Phases found via linearised instabilities
- 4 Helical superconductors
  - Helical superconducting black holes
- 5 Final comments

- 1 Motivation / Introduction
- 2 General strategy for finding new phases
- 3 Examples of Spatially Modulated Phases found via linearised instabilities
- 4 Helical superconductors
  - Helical superconducting black holes
- 5 Final comments

# Holographic Phases

- Analyse the behaviour of strongly coupled CFTs when held at finite temperature and charge density and/or in a uniform magnetic field.
  - Construct AdS black hole solutions with relevant asymptotic behaviour
  - Calculate the free energies and deduce the phase diagram
- What type of phases are possible?
- What kind of zero temperature ground states can we have?
- Do we find interesting new behaviour in the far IR? e.g. Lifshitz, Schrodinger, ..., something new??
- Analyse hydrodynamics and transport
- A lot of work on superconducting/superfluid phases

# Spatially Modulated Phases

- In condensed matter there is a variety of phases that are spatially modulated, spontaneously breaking translation invariance. For example
  - Charge density waves (CDWs)
  - Spin density waves (SDWs)
- The modulation is fixed by an order parameter associated with non-zero wave-number  $k$ .
- The modulation can be in various configurations such as stripes, checkerboards, .... and helical order with pitch  $2\pi/k$
- Is QCD in a spatially modulated “chiral density wave” state at high density?

- Can we study spatially modulated phases using holographic techniques? Yes!
- Are they exotic? No! Perhaps typical?
- Several examples known - see later
- So far, all of the spatially modulated phases have been inferred using a linearised perturbative analysis. It seemed that to go beyond this one would need to solve PDEs. However, we have recently shown we can do better for helical order and construct fully back-reacted black holes!

Plan:

- a) General strategy
- b) Helical p-wave: back-reacted black branes and emerging scaling symmetry in IR

- 1 Motivation / Introduction
- 2 General strategy for finding new phases**
- 3 Examples of Spatially Modulated Phases found via linearised instabilities
- 4 Helical superconductors
  - Helical superconducting black holes
- 5 Final comments

## Normal phase

- Critical points exhibiting full relativistic conformal invariance could be described by AdS geometries in string or M-theory
  - The boundary field theory at finite temperature is described by black hole solutions asymptoting to AdS
  - Finite chemical potential would correspond to a charged black hole with the charge carried by a bulk gauge field
- ↪ Electric AdS - Reissner - Nordström black brane
- (Can also consider magnetic black branes)

## Phase transition

- Certain fields can condense due to an instability of AdS-RN black brane, at a critical temperature  $T_c$ , spontaneously breaking a space-time and/or internal symmetry
- Emergence of new black hole branch

# Electric *AdS* RN black hole - unbroken phase

Einstein-Maxwell theory in  $d = 4$

$$\mathcal{L}_{EM} = \sqrt{-g} \left( \frac{1}{2} R + 6 - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \right) + \dots$$

Electrically charged *AdS* RN black hole

$$ds_4^2 = -g dt^2 + g^{-1} dr^2 + r^2 (dx_1^2 + dx_2^2)$$

$$A = \mu \left( 1 - \frac{r_+}{r} \right) dt$$

$$g = 2r^2 - \left( 2r_+^2 + \frac{\mu^2}{2} \right) \frac{r_+}{r} + \mu^2 \frac{r_+^2}{2r^2}$$

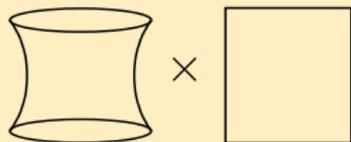
- Outer horizon located at  $r = r_+$
- Temperature  $T = (12r_+^2 - \mu^2) / (8\pi r_+)$   
Entropy (density)  $s = 2\pi r_+^2$

⇒ Non-zero entropy at  $T = 0$ ! Profound? Or always unstable?

# The extremal limit - diagnostic for instability

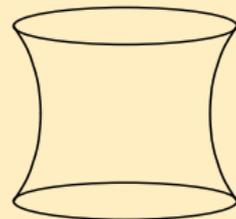
- $T = 0$  the near horizon (IR) limit is  $AdS_2 \times \mathbb{R}^2 \rightarrow$  1D CFT

$AdS_2 \times R^2$



$r = r_+$

$r = +\infty$



- The  $\mathbb{R}^2$  Fourier modes of bulk fields yield a continuum of dual operators  $\mathcal{O}_{\vec{k}}$  in the IR CFT
- The modes  $\vec{k} \neq 0$  break translations in the UV CFT
- Check unitarity (BF) bound of the IR CFT for all  $\vec{k}$
- If for high  $T$  RN bh is stable and the IR CFT is unstable, there must be a  $T_c$  for the onset of the instability

# Instabilities and critical temperatures

- Some instabilities do NOT show up as violations of AdS<sub>2</sub> BF bound and need to consider instabilities in the full AdS-RN black brane solution
- To obtain the critical temperature where the instability sets in we need to construct normalisable static modes of the AdS-RN black brane

- 1 Motivation / Introduction
- 2 General strategy for finding new phases
- 3 Examples of Spatially Modulated Phases found via linearised instabilities**
- 4 Helical superconductors
  - Helical superconducting black holes
- 5 Final comments

# Spatially Modulated Phases via linearised instabilities

## Finite charge density

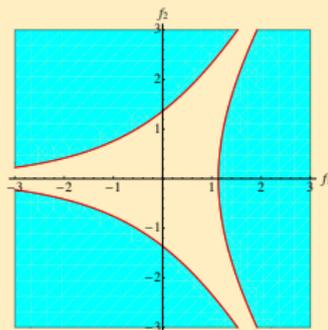
- D=4 model with metric+gauge-field+ pseudo scalar.  
The electric AdS-RN brane is unstable to spatially modulated coupled perturbations ( $\lambda \ll 1$ ) of the scalar, gauge-field and metric corresponding to striped phase [Donos,Gauntlett]:
  - Scalar operator VEV  $\langle \mathcal{O} \rangle \propto \lambda \cos(kx)$
  - Current density wave  $\langle \mathcal{J}_y \rangle \propto \lambda \sin(kx)$
  - Momentum density wave  $\langle \mathcal{P}_y \rangle \propto \lambda \sin(kx)$
  - Charge density wave  $\langle q \rangle \propto q_0 + \lambda^2 q_2 \cos(2kx) + \dots$
- D=5 model with metric +  $SU(2) \times U(1)$  gauge fields (imbalanced mixture) with CS coupling leads to competing modulated orders [Donos,Gauntlett]:
  - Helical current order
  - Helical p-wave superconducting order
  - Helical p+ip superconducting order

# Spatially Modulated Phases via linearised instabilities

## Finite magnetic field

Dilaton couplings  $\tau(\varphi) F^2$  (and generalisations) can lead to modulated current + scalar VEV [Donos, Gautnett, Pantelidou]

- $D=5$ ,  $\mathcal{N} = 8$  gauged SUGRA with magnetic deformations has a two dimensional moduli space of quantum critical points dual to 1 + 1 dim. CFTs including SUSY ones



- Modulated modes marginally stable around the SUSY ones
- Become unstable when crossing the red lines
- Similar structure in  $D=4$ ,  $\mathcal{N} = 8$  gauged SUGRA

## Questions for perturbation theory

- Higher order perturbative analysis shows that modulated black hole branches exist
- Can be used to study thermodynamics close to  $T_c$

↪ In general they are continuous transitions (second order)

## Would still like to ask

- Transport properties of modulated phases?
- Periodicity as function of  $T$ ?
- What is the low temperature behaviour? Modulation persists at low temps?
- If yes, new emergent IR with modulation?

↪ Can answer for helical order in D=5 models

- 1 Motivation / Introduction
- 2 General strategy for finding new phases
- 3 Examples of Spatially Modulated Phases found via linearised instabilities
- 4 Helical superconductors**
  - Helical superconducting black holes
- 5 Final comments

# Helical p-wave superconductors

Consider the  $D = 5$  model specified by  $e, m$

$$\mathcal{L} = (R + 12) - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \frac{1}{4} \bar{C}_{\mu\nu} C^{\mu\nu} + \frac{l}{24m} \varepsilon^{\mu_1 \dots \mu_5} C_{\mu_1 \mu_2} \bar{H}_{\mu_3 \mu_4 \mu_5}$$

$$F = dA, \quad H = dC + l q_e A \wedge C$$

- Natural class (eg type IIB  $q_e = 1/\sqrt{3}$ ,  $m = 1$ )
- AdS is a solution dual to some CFT
- $C$  is dual to a charge  $q_e$ ,  $d = 4$  self-dual tensor operator with

$$\Delta(\mathcal{O}_C) = 2 + m$$

- Operator is charged and has  $l=1 \rightarrow$  p-wave superconductor
- The electric RN black brane is a solution with  $C = 0$
- To analyse stability of  $C$ , simply examine its equation of motion on  $AdS_2 \times \mathbb{R}^3$ /RN bh background

$$*H = l m C$$

## 2-form perturbations in $AdS_2 \times \mathbb{R}^3$

Consistency of the 2-form equation of motion requires to consider

$$\delta C = (c_1 dt + c_2 dr) \wedge (\cos(kx_1) dx_2 - \sin(kx_1) dx_3) \\ + c_3 dx_1 \wedge (\sin(kx_1) dx_2 + \cos(kx_1) dx_3)$$

- Solve for  $c_1$  and  $c_2$  in terms of  $c_3$
- The component  $c_3$  satisfies a second order equation
- For the  $AdS_2 \times \mathbb{R}^3$  background

$$\left( \mathcal{D}^2 - L^2 (m^2 + k^2) + \frac{kq_e}{\sqrt{6m}} \right) c_3 = 0, \quad \mathcal{D} = \nabla + \frac{iq_e}{\sqrt{3}} A$$

$$\rightsquigarrow m_{eff}^2 = \frac{1}{12} (k^2 + m^2) - \frac{q_e^2}{6} - \frac{q_e}{\sqrt{6m}} k$$

- Lightest mode at  $k_{min} = \sqrt{6}q_e m^{-1}$ , unstable if  $\sqrt{2}q > m$

# Static modes in AdS-RN black hole

To determine  $T_c$  we need to construct a static, normalisable mode on the RN background

$$\delta C = (c_1 dt + c_2 dr) \wedge (\cos(kx_1) dx_2 - \sin(kx_1) dx_3) \\ + c_3 dx_1 \wedge (\sin(kx_1) dx_2 + \cos(kx_1) dx_3),$$

- Solve for  $c_1$  and  $c_2$  in terms of  $c_3$ . Find  $c_3$  satisfies a second order equation
- Regular horizon  $\rightarrow$  analytic expansion of  $c_3$

$$c_3 = c_3^{(0)} + c_3^{(1)} (r - r_+) + \dots$$

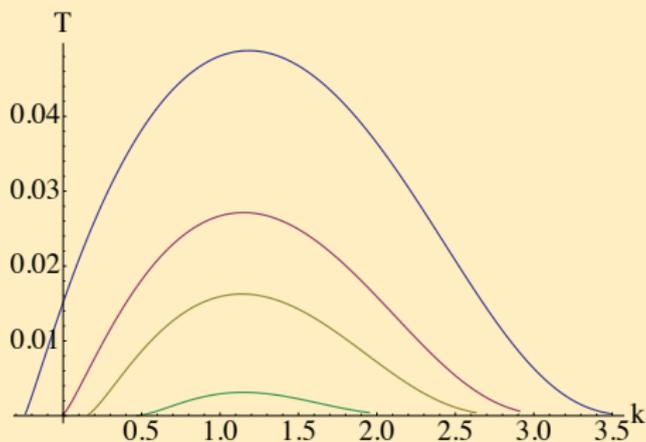
- Set deformations (sources) of  $c_3$  at infinity to zero

$$c_3 = c_d r^{|m|} + c_v r^{-|m|} + \dots, \quad c_d = 0$$

$\rightsquigarrow$  Parameter counting implies that for a fixed  $k$  there exist a discrete set of solutions (if any)

# Static normalisable modes

For fixed chemical potential and  $m = 1$  construct static spontaneous symmetry breaking modes for  $q = 2, 1.8, 1.7, 1.5$

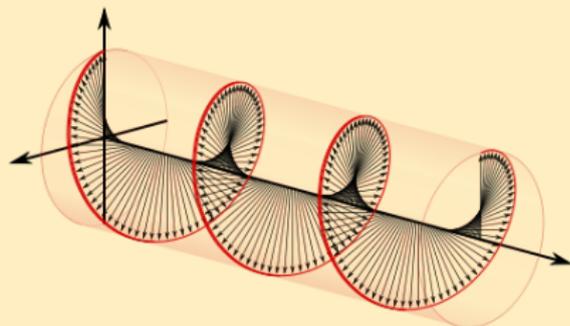


- Instability always at  $k \neq 0$ , as expected from  $AdS_2$  analysis
- Comment: The same kind of curve appears for all holographic black holes eg s-wave superconductors, but usually centred at  $k = 0$ .

# Helical superconducting black holes

## Symmetries of the new phase

- At  $T > T_c$  finite temperature and chemical potential preserve  $R_t \times ISO(3) \times U(1)_g$
- At  $T = T_c$  a static mode drives the system to a new phase
- The driving mode has a helical structure!



$$\delta C = \dots + c_3 dx_1 \wedge (\sin(kx_1) dx_2 + \cos(kx_1) dx_3)$$

It preserves the Bianchi  $VII_0$  subgroup of  $ISO(3)$

- Translations in the  $x_2 - x_3$  plane
- A particular combination of translations in  $x_1$  and rotations in the  $x_2 - x_3$  plane

- The generators for the preserved group are

$$\begin{aligned}L^t &= \partial_t, & L^2 &= \partial_{x_2}, & L^3 &= \partial_{x_3}, \\L^1 &= \partial_{x_1} + k (x_3 \partial_{x_2} - x_2 \partial_{x_3})\end{aligned}$$

- Very convenient to use invariant forms

$$dt$$

$$\omega_1 = dx_1$$

$$\omega_2 = \cos(kx_1) dx_2 - \sin(kx_1) dx_3$$

$$\omega_3 = \sin(kx_1) dx_2 + \cos(kx_1) dx_3$$

to write ansatz for black hole solutions...

# Helical superconducting black holes

Natural ansatz based on Bianchi  $VII_0$  invariants

$$ds^2 = -gf^2 dt^2 + g^{-1} dr^2 + h^2 \omega_1^2 + r^2 (e^{2\alpha} \omega_2^2 + e^{-2\alpha} \omega_3^2)$$

$$C = (c_1 dt + c_2 dr) \wedge \omega_2 + c_3 \omega_1 \wedge \omega_3$$

$$A = a dt$$

- $g, f, h, \alpha, c_i$  and  $a$  are only functions of  $r$
- General enough to capture:
  - (1)  $AdS_5$
  - (2) Electric RN black brane: high temperature, normal phase
  - (3) The static mode when pert. expanding functions around (2)
- Plugging in the  $5D$  equations of motion yields a consistent system of non-linear ODEs with  $k$  a parameter

## Modulated black hole phase

- Starting at  $T_c$  and finite  $k$  we find new black hole branches
- Boundary conditions for ODEs
  - Demand the existence of a horizon  $g(r_+) = 0$
  - Demand the solution is analytic on the black hole horizon  $r = r_+$
  - Demand expansion at infinity appropriate for spontaneous symmetry breaking

$$g = r^2 \left( 1 - M r^{-4} + \dots \right), \quad f = 1 - c_h r^{-4} + \dots$$

$$h = r \left( 1 + c_h r^{-4} \right), \quad \alpha = c_\alpha r^{-4} + \dots$$

$$a = \mu + q r^{-2} + \dots, \quad c_3 = c_v r^{-|m|} + \dots$$

# Helical superconducting black holes

## Physical content of constants

- The vev of the superconducting order parameter is determined by both  $c_v$  and  $k$

$$\langle \mathcal{O}(k)_C \rangle \propto c_v$$

- In addition we have charge density

$$\langle J^0 \rangle \propto q$$

- Holographic renormalisation reveals the stress tensor

$$T_{tt} = 3M + 8c_h$$

$$T_{x_1x_1} = M + 8c_h$$

$$T_{x_2x_2} = M + 8c_\alpha \cos(2kx_1)$$

$$T_{x_3x_3} = M - 8c_\alpha \cos(2kx_1)$$

$$T_{x_2x_3} = 8c_\alpha \sin(2kx_1)$$

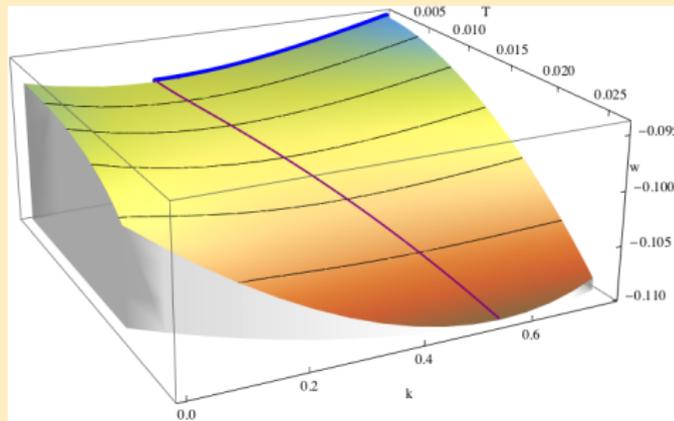
~> Spatially modulated pressure and shear in the  $x_2 - x_3$  plane

- Free energy density  $w \text{ vol}_3 \equiv T [I_{\text{Tot}}]_{OS}$  with

$$w = w_k(T, \mu) = -M$$

# Helical superconducting black holes

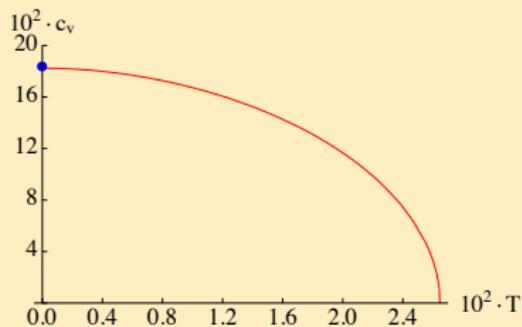
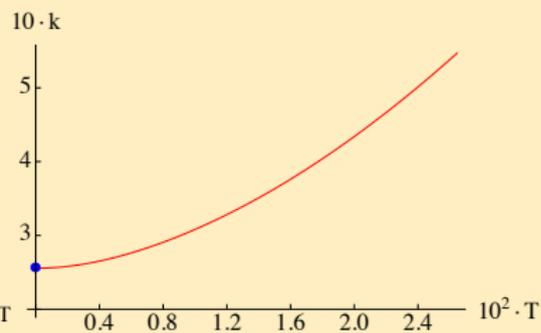
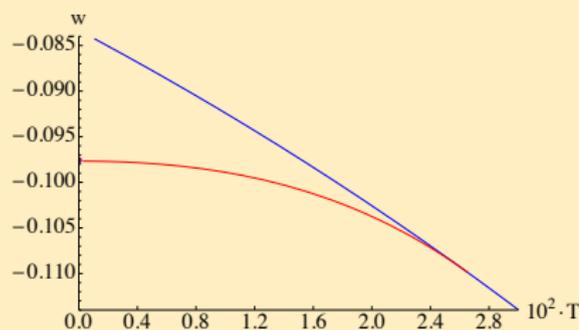
- A parameter count reveals that, for fixed  $\mu$ , there exists a two parameter family of black holes labeled by  $T$  and  $k$ .
- For fixed  $T$  and  $\mu$  minimise the free energy with respect to  $k$



- Free energy minimised on the red curve
- All black holes have  $c_v \neq 0$  and hence are helical superconductors
- All black holes have  $w_{helical} < w_{RN}$

# Helical superconducting black holes

For the thermodynamically preferred  $k(T)$



## Zero temperature limit

- As  $T \rightarrow 0$  our black hole solutions approach smooth domain walls interpolating between  $AdS_5$  in the UV and a new scaling solution in the IR

$$ds^2 = -r^{2z} dt^2 + r^{-2} dr^2 + h_0^2 \omega_1^2 + r^2 (e^{2\alpha_0} \omega_2^2 + e^{-2\alpha_0} \omega_3^2)$$

$$C = \left( c_{1(0)} r^{z+1} dt + c_{2(0)} dr \right) \wedge \omega_2 + c_{3(0)} r \omega \wedge \omega_3$$

$$A = a_0 r^z dt$$

- $z, h_0, \alpha_0, c_{i(0)}, a_0$  are constants
- Scaling  $t \rightarrow \lambda^z t, x_{2,3} \rightarrow \lambda x_{2,3}, x_1 \rightarrow x_1, r \rightarrow \lambda^{-1} r$

- 1 Motivation / Introduction
- 2 General strategy for finding new phases
- 3 Examples of Spatially Modulated Phases found via linearised instabilities
- 4 Helical superconductors
  - Helical superconducting black holes
- 5 Final comments**

- Instabilities of electrically and magnetically charged black holes imply there is a very wide range of spatially modulated black holes
- Constructed the first black holes dual to spatially modulated phases  $\rightarrow$  helical p-wave superconducting order, novel scaling symmetry in the IR
- Interesting to calculate transport coefficients using linear response theory. c.f. chiral nematic phase of liquid crystals

- Other examples of helical order:
  - We looked at p-wave order. There is also  $p + ip$  wave order. Which one wins?
  - $D = 5$  Einstein-Maxwell Chern-Simons : similar story, BUT the nature of the  $T=0$  limit is currently unclear [AD,JPG]
  - $D = 5$  with  $SU(2) \times U(1)$ : expect a similar story (in progress)
- Other examples mentioned before (stripes, magnetic field ..) involve solving PDE's - expect new features!
- Rich set of examples with couplings natural in string/M-theory. Are they generic ground states of deformed CFTs?